

In accordance with the requirements of the Capital Markets and Services Act 2007, this Application Form should not be circulated unless accompanied by the Master Prospectus and/or Supplemental Prospectus(es), Product Highlight Sheet(s), if any. Investor should read and understand the contents of the Master Prospectus and/or Supplemental Prospectus(es), Information Memorandum, Product Highlight Sheet(s), and/or if any of the relevant Fund(s) / Investment(s) before completing this Form. **Pre-signed account application form is strictly prohibited as provided under FIMM's Code of Ethics and Rules of Professional Conduct. Please complete in BLOCK LETTERS only.**

MASTER ACCOUNT DETAILS

Master Account Name:

Master Account No.:

NRIC / Passport / Birth Certificate / Certificate of Incorporation No.:

NAME OF FUNDS

SUBSCRIPTION AMOUNT (RM)

***REDEMPTION (No of units to be redeemed)**

- ☐ Astute Malaysia Growth Trust
 - ☐ Astute Dana Aslah Fund
 - ☐ Astute Quantum Fund
 - ☐ Astute Dana Al-Faiz-I
 - ☐ Astute Dana Al-Sofi-I
 - ☐ Astute Dynamic Fund
 - ☐ Astute Asian (Ex Japan) Fund
 - ☐ Astute Dana Al-Kanz- Class A
 - ☐ Astute Dana Al-Kanz- Class B
 - ☐ Astute Dividend Maximiser Fund
-
- ☐ _____
- ☐ _____

A blank 10x10 grid with a vertical line in the 8th column and a horizontal line in the 8th row, creating an 8x8 area for drawing.

[illegible]

Payment Method:

- ☐ Cheque / Bank Draft (Cheque No.: _____)
 ☐ Telegraphic Transfer (Bank Name: _____)
 ☐ Direct Bank-In (Bank Name: _____)
 ☐ Employees Provident Fund (EPF)

☐ Banking-in Request (for cash A/C only):

Bank Name:

A/C No.:

Redemption Reason:

TINs Number *For e-Invoicing Requirement:

SWITCHING DETAILS

Name of Fund to Switch From:

Name of Fund to Switch To:

No of Units to be Switched:

[illegible]

You may switch out of the Fund into another fund managed by Astute Fund Management Berhad. Units will be redeemed at the buying price, and invested into the new fund at NAV. Three free switches per account are allowed in each calendar year. Subsequent switches will be charged a 1% of redemption moneys for administrative purpose. **(Only applicable for Cash account).**

DECLARATION OF SOPHISTICATED INVESTOR

I hereby declare that my status as a sophisticated investor (Please tick (I) where applicable) as defined under Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework. Please refer to the **Appendix A** at page 2.

Principal applicant

- ☐ Yes, please proceed to declare which criteria
- ☐ Accredited Investor

Joint applicant

- ☐ Yes, please proceed to declare which criteria ☐ No
- ☐ Accredited Investor ☐ High Net-worth Individual/entities

UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a Unit Trust Fund with Borrowed Money is More Risky than Investing with Your Own Savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- 1) The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment;
- 2) You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased;
- 3) If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments.
- 4) If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan;
- 5) Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced.

Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

GENERAL INFORMATION TO UNIT HOLDERS

Subscriptions Settlement

- 1) Cheque / bank draft should be crossed and made payable to "Astute Fund Management Berhad-Clients Trust Account" and drawn on a bank located in Malaysia.
- 2) Telegraphic transfer or direct deposits should be remitted in Ringgit Malaysia (RM) to the following bank account: **Maybank Islamic Berhad (Account No: 5640-1662-7254)**
- 3) The cheque / bank draft / telegraphic transfer receipt / bank in slip must be attached to your QuickForm or written instruction. The cheque must be issued by the Unitholder and not by any 3rd party individual / organization unless ownership proof is enclosed.

Redemption / Switching

- Instructions for a partial sale / switch will only be carried out if the remaining portion of the investment meets the requirement of the relevant Fund(s). Otherwise, your entire holding in that Fund will be automatically redeemed / switched.
- A cheque will be issued in Ringgit Malaysia (RM), payable to the principal Unit holder, and sent by ordinary post to the last registered address held in our computer record unless banking-in request is made.

TERMS AND CONDITIONS
(a) Rights of the Astute Fund Management Berhad ("Astute" or "the Company")

- The Terms and Conditions herein are not exhaustive and the Company reserves the right to add, delete, vary or amend these Terms and Conditions at any time and from time to time at its sole and absolute discretion and the Account Holders hereby agree to any such additions, deletions, variations or amendments to the Terms and Conditions. Where there are conflicting terms, the terms in the relevant disclosure documents shall prevail.
- Astute reserves the right to reject any investment application in whole or in part without assigning any reason. Rejected applicant will be notified and Astute will refund the applicant money, made payable to the applicant, within 7 days from the date of receipt of the duly completed Investment Form.

(b) Anti-Money Laundering Statement

- The source of all funds paid by the Account Holder(s) to Astute from time to time shall come from the Holders(s)'s legitimate source or business activity.
- Account Holder(s) must provide all information and documents required and declare that all particulars given are true and complete to allow Astute to verify the Account Holder's identity.
- Astute shall not be held liable for any reason whatsoever and Account Holder(s) agree(s) to indemnify the Company from any losses whatsoever as a result or in connection with any delay or failure to process any application/transaction if such information and/or documents are not provided on time to the Company.
- Astute reserves the right to reject any application if the Account Holder(s) is/are not able to prove the information and/or documents required or if the Account Holder(s) is/are found to have breached or in compliance with the laws, regulations and rules on Anti-Money Laundering and Anti-Terrorism Financing Act 2001 (AML/ATF) and 'Know Your Customer' policy of the Company. Rejected application will be notified.

(c) Application and Remittance

- All instructions, investments and transactions will be carried out according to the instructions of Authorised Signatory specified in the Account Opening Master Form or any subsequent written notice duly received by Astute. Transactions are subject to the availability of units of the relevant unit trust fund(s).
- Payment may be made by telegraphic transfer, bank or online transfer, cheque or bankdraft.
- Units will be priced based on the Net Asset Value (NAV) calculated at the end of the business day upon receipt of the duly completed Account Opening Master Form. Units will be credited upon clearance of payment, after deduction of the necessary fees, charges, taxes and other deductions, where applicable.

(d) Confirmation Advice

- A confirmation advice will be issued to the Principal Holder within 14 days from the date of investment under normal circumstances.
- All items and balances shown in the confirmation advice will be considered correct unless the Company is notified in writing of any discrepancy within 14 days from the issuance date of the confirmation advice.
- All notices, statements, vouchers, correspondence and other communications will be addressed to the Principal Holder only at the address herein.

(e) Transfer-In

- Transfer-in of units from another distributor to Astute is only allowed when there is no change of beneficial owner including Joint Holder(s).

(f) Income Distribution and Reinvestment Policies

- Income distributions declared by the Manager of the unit trust fund shall be reinvested in new units of the same fund except where reinvestment is not allowed.

(g) Fees, Charges and Expenses

- The Account Holder(s) shall be responsible for the payment of any charges, fees, costs, expenses, taxes (if any) and other liabilities properly payable or incurred by Astute and in holding or executing transaction in respect of any of the units.

DECLARATIONS & SIGNATURES

I/We acknowledge that I/we have received, read and understood the Master Prospectus, Terms & Conditions of the Master Account, the Appointment of Nominee To Hold Unit trust Agreement and the Unit Trust Loan Financing Risk Disclosure Statement above and I/we undertake to be bound by them for our transactions with Astute Fund Management Berhad. I/We acknowledge that I/we are fully aware of the fees and charges that incurred directly or indirectly in the event of investing in the fund(s).

Signature of Principal Applicant/Authorized Signatory Date : _____	Signature of Joint Applicant/Authorized Signatory Date : _____	Affix Common Seal or Company Rubber Stamp (where applicable)
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FOR AUTHORISED UTC / MR USE	FOR AUTHORISED DISTRIBUTOR: FINANCIAL PLANNER / CUTA												
Full Name of Consultant / MR (as per NRIC): _____ *UTC / MR Code: <table border="1" style="display: inline-table; width: 100px; height: 20px;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table> Consultant / MR's Signature: _____ Date: _____							Company Name: _____ Authorized Signatories Name and signature: <table border="1" style="width: 100%;"> <tr> <td style="width: 33%;"><u>Authorized Signatory 1</u></td> <td style="width: 33%;"><u>Authorized Signatory 2</u></td> <td style="width: 33%;"><u>Company Rubber Stamp</u></td> </tr> <tr> <td style="height: 40px;"></td> <td></td> <td></td> </tr> </table> Date: _____	<u>Authorized Signatory 1</u>	<u>Authorized Signatory 2</u>	<u>Company Rubber Stamp</u>			
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FOR OFFICE USE ONLY			
Front-Office (Customer Services)		Back-Office (Operations Department)	
Received By		Processed By	
Signature		Signature	
Name		Name	
Date		Date	

Appendix A	
Criteria of Investors	Definitions
Accredited Investor	1. A unit trust scheme, private retirement scheme or prescribed investment scheme. 2. Bank Negara 3. A license person or a registered person. 4. An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator. 5. A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the Securities Commission. 6. A bank licensee or an insurance licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 704] 7. An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705]. 8. A chief executive officer or a director of any person referred to in (3), (4), (5), (6) and (7) above. 9. A closed-end fund approved by the Securities Commission.
High-Net Worth Individuals	1. An individual whose total net personal assets, or total net joint assets with his or her spouse, exceeding RM3 million or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than RM1 million of the total net assets; 2. An individual whose total net joint assets with his or her spouse; or his or her child, exceeding RM3 million or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than RM1 million of the total net assets. 3. An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies in the preceding twelve months. 4. An individual who jointly with his or her spouse or child, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies in the preceding twelve months. 5. An individual whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse or child, in any capital market produce exceeding RM1 million or its equivalent in foreign currencies. 6. An individual who holds any of the following qualifications and had five consecutive years of relevant working experience in finance, economics, actuarial science or accounting- a) holds a Bachelor's or Master's degree related to Finance Economics or Actuarial Science; 7. An individual who holds the following membership in the associations as set out below: a) Active Member of Chartered Financial Analyst (CFA) Institute; b) Chartered Banker of Asian Institute of Chartered Bankers (AICB); c) Ordinary Member of Financial Markets Association Malaysia (FMAM); d) Chartered Accountant(M) of Malaysian Institute of Accountants (MIA); e) Ordinary Member of Malaysia Association of Tax Accountants (MATA); f) Accredited Angel Investor of Malaysia Business Angel Network (MBAN); g) Certified Member of Financial Planning Association of Malaysia (FPAM); or h) Ordinary Member of Malaysian Financial Planning Council (MFPC); or 8. An individual who has five consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, financial analysis, or the provision of training in investment products.